AMENDMENT OF SOLICITATION/	MODIFICATION	OF CONTRACT	1. CONTRACT ID CO	DDE	PAGE C	PAGES 47
2. AMENDMENT/MODIFICAITON NO.	3. EFFECTIVE DATE	4. REQUISITION/PURCHA			NO. (If appli	
0001	1/10/2002		00-2-00003			
6. ISSUED BY CODE		7. ADMINISTERED BY (If	other than Item 6)	CODE		
U.S. DEPT. OF COMMERCE, NOAA						
AGFS ACQUISITION MANAGEMENT DIVI	SION					
1305 EAST WEST HIGHWAY, STA #7604						
SILVER SPRING, MD 20910						
8. NAME AND ADDRESS OF CONTRACTOR (No., street, cou	nty, State and ZIP Code)		(X) 9A. AMENDME	NT OF SOLICIA	ATION NO.	
						
			52-DG	NF-2-900	07	
TO ALL OFFERORS			X 9B. DATED (SEE	ITEM 11)		
			Dece	mber 21, 2	2001	
			10A. MODIFICA	TION OF CON	TRACT/ORD	ER NO.
			10B. DATED (SI	EE ITEM 11)		
_						
	CILITY CODE					
11. THIS ITEM	ONLY APPLIES TO	AMENDMENTS OF S	SOLICITATIONS			
or (c) By separate letter or telegram which includes a reference PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO your desire to change an offer already submitted, such change amendment, and is received prior to the opening hour and data amendment, and an appropriate the opening hour and data are considered. ACCOUNTING AND APPROPIRATION DATA (If required) 13. THIS ITEM ON IT MODIFIES T	copies of the amendment; (copies to the solicitation and among the HOUR AND DATE Signature of the HOUR AND DATE Signature of the specified.	b) By acknowledging receipt of the numbers. FAILURE PECIFIED MAY RESULT IN Right or letter, provided each telegraph of the number of the numbe	of this amendment on of OF YOUR ACKNOWLE EJECTION OF YOUR O gram or letter makes resulted. NTRACTS/ORDER SED IN ITEM 14.	each copy of the EDGMENT TO I FFER. If by viriference to the	ne offer subm BE RECEIVEC tue of this ar solicitation a	OAT THE mendment and this
CHECK ONE A. THIS CHANGE ORDER IS ISSUED PURSUNO. IN ITEM 10A.						DER
B. THE ABOVE NUMBERED CONTRACT/O appropriation date, etc.) SET FORTH IN				nanges in payir	ng office,	
C. THIS SUPPLEMENTAL AGREEMENT IS E						
D. OTHER (Specify type of modification and	l authority)					
E. IMPORTANT: Contractor is not,	is required to sign th	nis document and retu	rn co	ppies to the	e issuing (office.
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organi	zed by LICE section banding	se including solicitation/contr		•		
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organi	zod by OOI section neading	gs, moraumy solicitation/contr	acı sabjeci matter whe	io icasibic.)		
REFER TO PAGE 2 FOR DE	SCRIPTION					
Except as provided herein, all terms and conditions of the doc	cument referenced in Item 9	A or 10A, as heretofore char	nged, remains unchang	ed and in full fo	orce and effe	ct.
15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF	CONTRACTING OFFIC	ER (Type or pr	int)	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF A	MERICA		16C. DA	TE SIGNED
(6)	_		10 1 200		_	
(Signature of person authorized to sign)	1	(Signature	of Contracting Officer)		

INSTRUCTIONS

Instructions for items other than those that are self-explanatory, are as follows:

- (a) Item 1 (Contract ID Code). Insert the contract type identification code that appears in the title block of the contract being modified.
- (b) Item 3 (Effective date).
 - (1) For a solicitation amendment, change order, or administrative change, the effective date shall be the issue date of the amendment, change order, or administrative change.
 - (2) For a supplemental agreement, the effective date shall be the date agreed to by the contracting parties.
 - (3) For a modification issued as an initial or confirming notice of termination for the convenience of the Government, the effective date and the modification number of the confirming notice shall be the same as the effective date and modification number of the initial notice.
 - (4) For a modification converting a termination for default to a termination for the convenience of the Government, the effective date shall be the same as the effective date of the termination for default.
 - (5) For a modification confirming the contacting officer's determination of the amount due in settlement of a contract termination, the effective date shall be the same as the effective date of the initial decision.
- (c) Item 6 (Issued By). Insert the name and address of the issuing office. If applicable, insert the appropriate issuing office code in the code block.
- (d) Item 8 (Name and Address of Contractor). For modifications to a contract or order, enter the contractor's name, address, and code as shown in the original contract or order, unless changed by this or a previous modification.
- (e) Item 9, (Amendment of Solicitation No. Dated), and 10, (Modification of Contract/Order No. Dated). Check the appropriate box and in the corresponding blanks insert the number and date of the original solicitation, contract, or order.
- (f) Item 12 (Accounting and Appropriation Data). When appropriate, indicate the impact of the modification on each affected accounting classification by inserting one of the following entries.

(1)	Accounting classification	า
	Net increase	\$

(2)	Accounting classification	
	Net decrease	\$

NOTE: If there are changes to multiple accounting classifications that cannot be placed in block 12, insert an asterisk and the words "See continuation sheet".

- (g) <u>Item</u> 13. Check the appropriate box to indicate the type of modification. Insert in the corresponding blank the authority under which the modification is issued. Check whether or not contractor must sign this document. (See FAR 43.103.)
- (h) Item 14 (Description of Amendment/Modification).
 - (1) Organize amendments or modifications under the appropriate Uniform Contract Format (UCF) section headings from the applicable solicitation or contract. The UCF table of contents, however, shall not be set forth in this document
 - (2) Indicate the impact of the modification on the overall total contract price by inserting one of the following entries:

(i) Total contract price increased by \$
--

- (ii) Total contract price decreased by \$----
- (iii) Total contract price unchanged.
- (3) State reason for modification.
- (4) When removing, reinstating, or adding funds, identify the contract items and accounting classifications.
- (5) When the SF 30 is used to reflect a determination by the contracting officer of the amount due in settlement of a contract terminated for the convenience of the Government, the entry in Item 14 of the modification may be limited to --
 - (i) A reference to the letter determination; and
 - (ii) A statement of the net amount determined to be due in settlement of the contract.
- (6) Include subject matter or short title of solicitation/contract where feasible.
- (i) <u>Item</u> 16B. The contracting officer's signature is not required on solicitation amendments. The contracting offier's signature is normally affixed last on supplemental agreements.

STANDARD FORM 30 (REV. 10-83) BACK

- A. This amendment is issued to (1) provide Responses to Questions, (2) provide a mailing list, (3) provide a copy of Wage Determinations, and (4) make changes/corrections to the solicitation, including its Statement of Work, as applicable.
- B. The responses to the vendors' questions are provided as **Attachment A** (3 pages).
- C. A mailing list is provided as **Attachment B** (1 page).

The following Wage Determinations which are provided in accordance with the Service Contract Act as **Attachment C** (63 pages). It is hereby noted that only the applicable Wage Determination, if any, shall be incorporated into the resultant contract.

```
1994-2377 (Rev. 14)
                    (8 pages)
                                              1994-2103 (Rev. 24)
                                                                   (8 pages)
1994-2025 (Rev. 24)
                     (8 pages)
                                              1994-2109 (Rev. 16)
                                                                   (8 pages)
1994-2057 (Rev. 25)
                    (8 pages)
                                              1994-2413 (Rev. 16)
                                                                   (8 pages)
1994-2059 (Rev. 17)
                                              1994-2537 (Rev. 21)
                    (8 pages)
                                                                   (7 pages)
```

E. The solicitation includes the following changes/corrections. (Replacement pages are provided where indicated.)

STANDARD FORM 33:

In Block 9., the date for receipt of all proposals shall be "January 24, 2002".

The Table of Contents for the solicitation is provided in its entirety in this amendment. (Pages -i-, -ii- and -iii- are provided herein.)

SECTION B:

Section B.2 shall be changed to reflect a Base Period, Option Period One, Option Period Two, and Option Period Three. (Replacement pages are provided for Page B-1 through Page B-19).

SECTION F:

The solicitation is changed to include a Base Period, Option Period One, Option Period Two, and Option Period Three. As such, Section F.2 shall be replaced in its entirety as follows:

F.2 1352.215-70 PERIOD OF PERFORMANCE (MARCH 2000)

- a The period of performance of this contract is from the effective date of the contract through March 31, 2003. If an option is exercised, the period of performance shall be extended through the end of that option period.
- b. The option periods that may be exercised are as follows:

Period	Start Date	End Date
Option Period One	Jan 1 2003	Mar 31 2004 (*)
Option Period Two	Jan 1 2004	Mar 31 2005 (*)
Option Period Three	Jan 1 2005	Mar 31 2006 (*)

(*) As a result of the time required at the end of each wave to prepare a progress report and the final summary report of the data collection procedures and results due at the conclusion of each contract period, there is a 3-month overlap in the period of performance for each successive option period.

The 2002-2005 intercept surveys will be conducted at two-month intervals, with each of the six intervals (referred to as a wave) to collect data for the previous two-month period. For example, Wave 1 of the intercept suvey will be conducted in the last week of February 2002 and the first week of March 2002 to cover fishing activities during January and February 2002.

Data stars Lineau attach

Section F.3 is changed in its entirety (The solicitation Page F-3 shall be re-numbered Page F-4. Replacement Page F-2 and Page F-3 are provided in this amendment.)

SECTION H:

Section H.3 paragraph (a) is changed as follows:

(a) The Contractor shall assign to this contract the following key personnel:

(to be completed at contract award) Name	Principal Investigator Title
(to be completed at contract award) Name	Program Manager (day to day ops) Title
(to be completed at contract award) Name	Fishery Biologist(s) (day to day ops & QA/QC) Title
(to be completed at contract award) Name	SAS Programmer Title
(to be completed at contract award) Name	<u>Lead Trainer</u> Title

Section H.9 shall be replaced in its entirety as follows:

H.9 UNDERSTANDING RELATIVE TO AVAILABILITY OF FUNDS

It is hereby agreed and understood that the issuance of Delivery Orders to this contract may be subject to the Availability of Funds. Pursuant to FAR clauses 52.232-18 entitled "Availability of Funds" and 52.232-19 entitled "Availability of Funds for the Next Fiscal Year" the Government's obligation to order the Minimum Sampling for Survey Year 2002 (Section B.2) is contingent upon the availability of Fiscal Year 2002 appropriated funds. Similarly, if Fiscal Year 2002 appropriated funds are insufficient, the Government's obligation to order the Minimum Sampling for Survey Year 2003 (Section B.2) is contingent upon the availability of Fiscal Year 2003 appropriated funds. And, if Fiscal Year 2003 appropriated funds are insufficient, the Government's obligation to order the Minimum Sampling for Survey Year 2004 (Section B.2) is contingent upon the availability of Fiscal Year 2004 appropriated funds. And, if Fiscal Year 2004 appropriated funds are insufficient, the Government's obligation to order the Minimum Sampling for Survey Year 2005 (Section B.2) is contingent upon the availability of Fiscal Year 2005 appropriated funds.

Section H.12 was inadvertently omitted in the solicitation. (Replacement Page H-5 through Page H-9 is herein provided.)

SECTION I:

Section I.1 shall be changed to ADD the following clauses:

52.217-8	OPTION TO EXTEND SERVICES NOV 1999
	" The Contracting Officer may exercise the option by written
	notice to the Contractor within 30 days."
52.217-9	OPTION TO EXTEND THE TERM MAR 2000
	OF THE CONTRACT
	" to the contractor within 30 days;"
	" to extend at least 30 days before"
	" shall not exceed 4 years, 6 months,"

SECTION L:

Section L.1 shall be changed to ADD the following provisions:

52.215-1	INSTRUCTIONS TO OFFERORS -	MAY 2001
	COMPETITIVE ACQUISITION	
	ALTERNATE I OCT 1997	
52.217-5	EVALUATION OF OPTIONS	JUL 1990

Section L.8 shall be replaced in its entirety. (Replacement pages include Page L-3 through Page L-12.)

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PART I - THE SCHEDULE SECTION B - SUPPLIES OR SERVICES AND PRICE/COSTS

B.1 1352.216.70 CONTRACT TYPE (MAR 2000)

This is an **INDEFINITE-QUANTITY CONTRACT WITH FIRM-FIXED UNIT PRICES** for the Intercept Survey Portion of the National Marine Fisheries Recreational Statistics Survey (MRFSS). It consists of an 11-month base period, plus three 15-month option periods.

B.2 1352.216-72 MINIMUM AND MAXIMUM CONTRACT AMOUNTS (MAR 2000)

During the period specified in the ORDERING clause (FAR 52.216-18), the Government shall place orders totaling a minimum of (See Minimum Sampling Level below). The amount of all orders shall not exceed (See Maximum Sampling Level below).

THE FOLLOWING MINIMUMS AND MAXIMUMS HOWEVER WILL APPLY FOR PURPOSES OF EVALUATION.

BASE PERIOD: Survey Year 2002 - Waves 3-6

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
100A	Intercept Survey - Shore Mode	5,000	10,000
100B	Intercept Survey - Private/Rental Boat Mode	9,000	25,000
100C	Intercept Survey - Party/Charter Mode	2,500	6,000
101	Data Entry Program Maintenance (hours)	20	80
102	CHBTS Directory Creation (Puerto Rico & USVI)	1	2
103	CHBTS Directory Maintenance	47	58
104A	CHBTS Charter Boat Pre-validation	1,600	2,000
104B	CHBTS Head Boat Pre-validation	500	800

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B.2 Continued

OPTION PERIOD ONE: Survey Year 2003 - Waves 1-6

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
200A	Intercept Survey - Shore Mode	8,000	15,000
200B	Intercept Survey - Private/Rental Boat Mode	14,000	30,000
200C	Intercept Survey - Charter Boat Mode	2,400	6,000
200D	Intercept Survey - Head Boat Mode Boat-based samples (boat trips) Extra Interviews -Based samples	400 0	1,500 8,000
201	Data Entry Program Maintenance (hours)	20	80
202	CHBTS Directory Creation (USVI)	0	1
203	CHBTS Directory Maintenance	64	80
204A	CHBTS Charter Boat Pre-validation	2,500	8,000
204B	CHBTS Head Boat Pre-validation	1,250	2,500
205	Intercept Economic Add-On - NE Region	15,000	32,000

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B.2 Continued

OPTION PERIOD TWO: Survey Year 2004 - Waves 1-6

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
300A	Intercept Survey - Shore Mode	8,000	15,000
300B	Intercept Survey - Private/Rental Boat Mode	14,000	30,000
300C	Intercept Survey - Charter Boat Mode	2,400	6,000
300D	Intercept Survey - Head Boat Mode Boat-based samples (boat trips) Extra Interviews -Based samples	400 0	1,500 8,000
301	Data Entry Program Maintenance (hours)	20	80
302	CHBTS Directory Creation (USVI)	0	1
303	CHBTS Directory Maintenance	64	80
304A	CHBTS Charter Boat Pre-validation	2,500	8,000
304B	CHBTS Head Boat Pre-validation	1,250	2,500
305	Intercept Economic Add-On - SE Region	45,000	50,000

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B.2 Continued

OPTION PERIOD THREE: Survey Year 2005 - Waves 1-6

However, there is some possibility that the Government may enter into a cooperative agreement with the Atlantic States Marine Fisheries Commission to conduct the 2005 MRFSS Intercept Survey, therefore the government is under no obligation to order a minimum sampling level. The following minimums and maximums however will apply for purposes of evaluation.

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
400A	Intercept Survey - Shore Mode	8,000	15,000
400B	Intercept Survey - Private/Rental Boat Mode	14,000	30,000
400C	Intercept Survey - Charter Boat Mode	2,400	6,000
400D	Intercept Survey - Head Boat Mode Boat-based samples (boat trips) Extra Interviews -Based samples	400 0	1,500 8,000
401	Data Entry Program Maintenance (hours)	20	80
402	CHBTS Directory Creation (USVI)	0	1
403	CHBTS Directory Maintenance	64	80
404A	CHBTS Charter Boat Pre-validation	2,500	8,000
404B	CHBTS Head Boat Pre-validation	1,250	2,500

Intercept Survey

Due to severe problems in hiring and retaining intercept samplers in the U.S. Virgin Islands (USVI) during 1999-2000, it is unknown when various tasks would be implemented there during the 2002-2005 contract period. Therefore the USVI is kept as separate cells for each task and the minimums are set at zero.

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B.2 Continued

Shore Mode 100A, 200A, 300A & 400A

A cell is a state/wave/mode combination. A sample unit is a completed intercept interview.

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during survey year **2002**, the Government shall place orders for the **Intercept Survey Shore Mode** for a minimum of 54 cells and maximum of 58 cells, with a total minimum sample of 5,000 and a total maximum amount of 10,000. Orders will require a minimum of 30 samples per cell and maximum of 850 samples per cell.

2002

States	Waves and cells	Minimum/cell
12 states (Massachusetts-Georgia, & Puerto Rico)	Waves 3-6 (48 cells)	30
2 States (Maine & New Hampshire)	Waves 3-5 (6 cells)	30
U.S. Virgin Islands	Waves 3-6 (4 cells)	0

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during any survey year from **2003-2004**, the Government shall place orders for the **Intercept Survey Shore Mode** for a minimum of 67 cells and maximum of 73 cells, with a total minimum sample of 8,000 and a total maximum amount of 15,000. Orders will require a minimum of 30 samples per cell and maximum of 850 samples per cell.

2003-2004

States	Waves and cells	Minimum/cell
12 states (Massachusetts-Georgia, & Puerto Rico)	Waves 2-6 (60 cells)	30
2 States (Maine & New Hampshire)	Waves 3-5 (6 cells)	30
Puerto Rico	Wave 1 (1 cell)	30
U.S. Virgin Islands	Waves 1-6 (6 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, during the period specified in the "ordering" clause (FAR Subpart 52.216-18), the Government may place orders for the Intercept Survey Shore Mode according to the minimums and maximums set for 2003-2004.

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B.2 Continued

Private Boat Mode - 100B, 200B, 300B & 400B

A cell is a state/wave/mode combination. A sample unit is a completed intercept interview.

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during survey year **2002**, the Government shall place orders for the **Intercept Survey Private Boat mode** for a minimum of 54 cells and maximum of 58 cells, with a total minimum sample of 9,000 and a total maximum amount of 25,000. Orders will require a minimum of 30 samples per cell and maximum of 1,200 samples per cell.

2002

States	Waves and cells	Minimum/cell
12 states (Massachusetts-Georgia, & Puerto Rico)	Waves 3-6 (48 cells)	30
2 States (Maine & New Hampshire)	Waves 3-5 (6 cells)	30
U.S. Virgin Islands	Waves 3-6 (4 cells)	0

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during any survey year from **2003-2004** the Government shall place orders for the **Intercept Survey Shore Mode** for a minimum of 67 cells and maximum of 73 cells, with a total minimum sample of 14,000 and a total maximum amount of 30,000. Orders will require a minimum of 30 samples per cell and maximum of 1,200 samples per cell.

2003-2004

States	Waves and cells	Minimum/cell
12 states (Massachusetts-Georgia, & Puerto Rico)	Waves 2-6 (60 cells)	30
2 States (Maine & New Hampshire)	Waves 3-5 (6 cells)	30
Puerto Rico	Wave 1 (1 cell)	30
U.S. Virgin Islands	Waves 1-6 (6 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, during the period specified in the "ordering" clause (FAR Subpart 52.216-18), the Government shall place orders for the Intercept Survey Private/Rental Boat Mode according to the minimums and maximums set for 2003-2004.

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B.2 Continued

Party/Charter Boat Mode - 100C

A cell is a state/wave/mode combination. A sample unit is a completed intercept interview.

The Government expects to implement the CHBTS no later than wave 5 of 2002, to allow proper start-up and smooth operations by calendar year 2003. Outreach meetings with head and charter boat operators will occur prior to implementation to seek their cooperation. During 2002, the Government expects to collect intercept interviews from head (party) and charter boats in a combined fishing mode as is done in the current MRFSS. After full implementation of the CHBTS, these modes will be separated.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey year **2002** prior to CHBTS implementation, the Government shall place orders for the **combined Intercept Survey Party/Charter Boat Mode** for a minimum of 54 cells and maximum of 58 cells, with a total minimum sample of 2,500 and a total maximum amount of 6,000. Orders will require a minimum of 45 samples per cell and maximum of 300 samples per cell.

2002

States	Waves	Minimum/cell
12 states (Massachusetts-Georgia & Puerto Rico)	Waves 3-6 (48 cells)	45
2 States (Maine & New Hampshire)	Waves 3-5 (6 cells)	45
U.S. Virgin Islands	Waves 3-6 (4 cells)	0

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B.2 Continued

Charter Boat Mode - 200C, 300C & 400C

A cell is a state/wave/mode combination. A sample unit is a completed intercept interview.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey years **2003-2005** (after full CHBTS implementation), the Government shall place orders for **the Intercept Survey Charter Boat Mode** for a minimum of 69 cells and maximum of 75 cells, with a total minimum sample of 2,400 and a total maximum amount of 6,000. Orders will require a minimum of 45 samples per cell and maximum of 300 samples per cell.

2003-2004

States	Waves	Minimum/cell
12 states (Massachusetts - Georgia, and Puerto Rico)	Waves 2-6 (60 cells)	45
2 states (Maine and New Hampshire)	Waves 2-5 (8 cells)	45
Puerto Rico	Wave 1 (1 cell)	45
U.S. Virgin Islands	Waves 1-6 (6 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, during the period specified in the "ordering" clause (FAR Subpart 52.216-18), the Government shall place orders for the **Intercept Survey Charter Boat Mode** according to the minimums and maximums set for 2003-2004.

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B.2 Continued

Head Boat Mode - Boat-Based Sampling - 200D, 300D, & 400D

After implementation of the CHBTS, head-boat sampling will be based on boat samples. Intercept samplers would be expected to make reservations to go as a paying (but non-fishing) passenger on the assigned boats and would be expected to collect up to 45 intercept interviews per boat assignment (similar to current assignment caps) that would be included in the per boat trip price. Above the 45 interviews the Government will pay data entry and Quality Assurance/ Quality Control costs for up to 30 additional interviews per boat trip. If the contractor has difficulty riding some of the smaller head boats due to lack of room (passenger capacity of <10), interviewers may sample those vessels dockside. Dockside sampling shall be limited and subject to advance approval by the Government.

A cell is still a state/wave/mode combination; however, there are now two sampling units: 1) a completed head boat assignment, and 2) additional samples above 45 but less than 76 per boat assignment.

There are no known head boats operating in the U.S. Caribbean or in the South Atlantic, except for South Carolina. The MRFSS has not surveyed head boats in the Southeast Region since 1986, and it is unknown at this time whether head boats will be sampled in South Carolina, therefore those minimums are set at 0.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey years **2003-2004** (after CHBTS implementation), the Government shall place orders for **the Intercept Survey Head Boat Mode** for a minimum of 48 cells and maximum of 54 cells, with a total minimum sample of 400 and a total maximum amount of 1,500. Orders will require a minimum of 10 samples per cell and maximum of 40 samples per cell. Additional interviews above the base of 45 per boat trip have a minimum of 0 per cell (as there are cells where none of the head boats have a passenger capacity larger than 45) and a maximum of 250 per cell. The maximum number of additional interviews per boat trip is 30.

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B.2 Continued.

2003-2004

States	Waves	Minimum boat trips/cell	Maximum additional samples/cell
8 states (Massachusetts - Virginia)	Waves 2-6 (40 cells)	10	250
2 states (Maine and New Hampshire)	Waves 2-5 (8 cells)	45	250
1 state (South Carolina)	Waves 1-6 (6 cells)	0	250

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, during the period specified in the "ordering" clause (FAR Subpart 52.216-18), the Government shall place orders for the Intercept Survey Head Boat Mode according to the minimums and maximums set for 2003-2004.

Intercept Survey Data Entry Program Maintenance - 101, 201, 301 & 401

A cell is one wave. A sample unit is a maintenance hour. During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during any survey year from **2002-2005**, the Government shall place orders for modification of the **Intercept Survey Questionnaire** and/or the flexible questions for a minimum of 0 cells and a maximum of 6 cells, with a minimum of 0 hours per cell. The minimum is set as zero since the government does not expect to make changes every wave. The government expects there will be at least 20 hours of maintenance per year. Invoices will be based on actual hours.

2002

States	Waves	Hours/cell
All Combined	Waves 3-6 (4 cells)	0

2003-2005

States	Waves	Hours/cell
All Combined	Waves 1-6 (6 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, the 2003-2004 minimums would apply.

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B.2 Continued

CHBTS Directory Creation (U.S.Caribbean)- 102, 202, 302, & 402

A cell is a U.S. territory. A unit is a completed directory of charter boats in a territory. There are no known head boats operating in the U.S Caribbean territories therefore these directories will list only charter boats.

The Government intends to have the directory for Puerto Rico compiled in the first 6-8 months of 2002; however due to severe problems with hiring and retaining samplers in the USVI, the Government is unsure when this will occur for that territory.

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey year **2002**, the Government shall place orders for **compilation of the Charter Boat Directories for Puerto Rico and the USVI** for a minimum of 1 cells and maximum of 2 cells.

2002

States	Minimum Sampling unit
Puerto Rico	1 Directory
U.S. Virgin Islands	0

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during any survey years **2003-2005**, the Government may place an order for **compilation of the Charter Boat Directory for the U.S. Virgin Islands (USVI)** for a minimum of 0 cells and maximum of 1 cell.

2003-2004

States	Minimum Sampling unit	
U.S. Virgin Islands	0	

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, the minimums set for 2003-2004 would apply.

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B.2 Continued

CHBTS Directory Maintenance- 103, 203, 303, & 403

A cell is a state/wave combination. A unit is an updated directory of charter and/or head boats in a state or territory. Directory maintenance will occur on the Atlantic coast prior to implementation of the CHBTS in order to maintain a complete and usable frame. Maintenance of the directory for Puerto Rico will begin after completion of directory compilation in the first 6-8 months of 2002. The Government is unsure when compilation and/or maintenance of the directory will occur for the USVI. Maryland and South Carolina both have operational mandatory logbooks and the government may need to implement the CHBTS in a different way in those states, therefore their minimums are set at 0.

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during survey year **2002**, the Government shall place orders for **maintenance of the charter and head boat directories** for a minimum of 47 cells and maximum of 58 cells.

2002

States	Waves	Minimum/cell
10 states (Massachusetts - Delaware, Virginia, North Carolina, & Georgia)	Waves 3-6 (40 cells)	1
2 states (Maine and New Hampshire)	Waves 3-5 (6 cells)	1
2 states (Maryland and South Carolina)	Waves 3-6 (8 cells)	0
Puerto Rico	Wave 5 (1 cells)	0
Puerto Rico	Wave 6 (1 cells)	1
U.S. Virgin Islands	Waves 5-6 (2 cells)	0

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B.2 Continued

During the period specified in the "ordering" clause (FAR Subpart 52.216-18), during survey years **2003-2004**, the Government shall place orders for **maintenance of the charter and head boat directories** for a minimum of 64 cells and maximum of 80 cells.

2003-2004

States	Waves	Minimum/cell
10 states (Massachusetts - Delaware, Virginia - North Carolina, & Georgia)	Waves 2-6 (50 cells)	1
2 states (Maine and New Hampshire)	Waves 2-5 (8 cells)	1
2 states (Maryland and South Carolina)	Waves 2-6 (10 cells)	0
Puerto Rico	Waves 1-6 (6 cells)	1
U.S. Virgin Islands	Waves 1-6 (6 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, during the period specified in the "ordering" clause (FAR Subpart 52.216-18), the Government shall place orders according to the minimum and maximum amounts set for 2003-2004

CHBTS Charter Boat Pre-validation - 104A, 204A, 304A, & 404A

A cell is a state/wave combination. A unit is an observation of the presence/absence of a charter boat scheduled to be called in the CHBTS at the end of the sampled week.

The Government expects to implement the CHBTS, including the pre-validation visits, no later than wave 5 of 2002, to allow proper start-up and smooth operations by calendar year 2003. Pre-validation for Puerto Rico will begin after implementation of the CHBTS in that territory. The Government is unsure when the CHBTS will be implemented in the USVI, therefore the minimum is set to 0. Maryland and South Carolina both have operational mandatory logbooks and the government may need to implement the CHBTS in a different way in those states, therefore their minimums are set at 0.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey year **2002**, the Government shall place orders for **charter boat pre-validations** for a minimum of 23 cells and maximum of 34 cells, with a total minimum sample of 1,600 and a total maximum amount of 2,000. Orders will require a minimum of 22 samples per cell and maximum of 300 samples per cell.

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B.2 Continued

2002

States	Waves	Minimum/cell
10 states (Massachusetts - Delaware, Virginia - North Carolina, & Georgia)	Waves 5-6 (20 cells)	22
2 states (Maine and New Hampshire)	Wave 5 (2 cells)	22
2 states (Maryland and South Carolina)	Waves 5-6 (4 cells)	0
Puerto Rico	Wave 5 (1 cells)	0
Puerto Rico	Waves 6 (1 cells)	22
U.S. Virgin Islands	Waves 1-6 (6 cells)	0

As specified in the "ordering" clause (FAR Subpart 52.216-18), during any of the survey years **2003-2004**, the Government shall place orders for **charter boat pre-validations** for a minimum of 64 cells and maximum of 80 cells, with a total minimum sample of 2,500 and a total maximum amount of 8,000. Orders will require a minimum of 22 samples per cell and maximum of 300 samples per cell.

2003-2004

States	Waves	Minimum/cell
10 states (Massachusetts - Delaware, Virginia - North Carolina, & Georgia)	Waves 2-6 (50 cells)	1
2 states (Maine and New Hampshire)	Waves 2-5 (8 cells)	1
2 states (Maryland and South Carolina)	Waves 2-6 (10 cells)	0
Puerto Rico	Waves 1-6 (6 cells)	1
U.S. Virgin Islands	Waves 1-6 (6 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, as specified in the "ordering" clause (FAR Subpart 52.216-18), the Government shall place orders for charter boat prevalidations according to the minimum and maximum amounts set for 2003-2004

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B.2 Continued

CHBTS Head Boat Pre-validation - 104B, 204B, 304B, & 404B

A cell is a state/wave combination. A unit is an observation of the presence/absence of a head boat scheduled to be called in the CHBTS at the end of the sampled week.

The Government expects to implement the CHBTS, including the pre-validation visits, no later than wave 5 of 2002, to allow proper start-up and smooth operations by calendar year 2003. Maryland and South Carolina both have operational mandatory logbooks and the government may need to implement the CHBTS in a different way in those states, therefore their minimums are set at 0. There are no known head boats operating in the South Atlantic, except for South Carolina, or in the U.S. Caribbean.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey year **2002** after CHBTS implementation, the Government shall place orders for **head boat pre-validations** for a minimum of 16 cells and maximum of 20 cells, with a total minimum sample of 500 and a total maximum amount of 800. Orders will require a minimum of 24 samples per cell and maximum of 100 samples per cell.

2002

States	Waves	Minimum/cell
7 states (Massachusetts - Delaware & Virginia)	Waves 5-6 (14 cells)	24
2 states (Maine and New Hampshire)	Wave 5 (2 cells)	24
2 states (Maryland and South Carolina)	Waves 5-6 (4 cells)	0

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B.2 Continued

As specified in the "ordering" clause (FAR Subpart 52.216-18), during any of the survey years **2003-2004**, the Government shall place orders for **head boat pre-validations** for a minimum of 43 cells and maximum of 53 cells, with a total minimum sample of 1,250 and a total maximum amount of 2,500. Orders will require a minimum of 24 samples per cell and maximum of 100 samples per cell.

2003-2004

States	Waves	Minimum/cell
7 states (Massachusetts - Delaware & Virginia)	Waves 2-6 (35 cells)	24
2 states (Maine and New Hampshire)	Wave 2-5 (8 cells)	24
2 states (Maryland and South Carolina)	Waves 2-6 (10 cells)	0

The Government reserves the right not to order a sample for survey year **2005**. In the event that the Government does place orders during survey year 2005, as specified in the "ordering" clause (FAR Subpart 52.216-18), the Government shall place orders according to the minimum and maximum amounts set for 2003-2004.

Intercept Economic Add-On - 205 & 305

A cell is a state/wave/mode combination. In the Northeast Region and South Atlantic (North Carolina through Georgia), a unit consists of an attempt to conduct the Intercept economic addon at the end of each Intercept Interview as well as conduct of the follow-up telephone interview for all respondents who agreed to participate and can be reached. For East Florida and the Gulf of Mexico, the Gulf States Marine Fisheries Commission will be collecting the Intercept Add-Ons and providing the names and numbers for the telephone follow-up to the contractor. Therefore a sampling unit includes only conduct of the follow-up telephone interview for all respondents who agreed to participate and can be reached. Since there is no way to predict cooperation rates, the sample sizes are based simply on the total sample sizes for the Intercept Survey. Because the government sees value in higher samples sizes for economic modeling, if the ACCSP Northeast Intercept Add-On is funded, the government may also order the economic add-on for those additional samples.

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B.2 Continued.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey year **2003**, the Government shall place orders for the **Northeast Economic Add-On** for a minimum of 48 cells and maximum of 48 cells, with a total minimum sample of 15,000 and a total maximum amount of 32,000. Orders will require a minimum of 30 samples per cell and the same maximums shown for 2003ABCD.

2003

States	3 Modes X Waves = cells	Minimum/cell
8 states (Massachusetts - Virginia)	Waves 2-6 (120 cells)	30 - Shore & Private Boat 45 - Charter and Head Boats
2 states (Maine-New Hampshire)	Waves 2*-5 (8 cells)	30 - Shore & Private Boats 45 - Charter and Head Boats

^{*} Sampling in wave 2 will be for charter and head boats only.

As specified in the "ordering" clause (FAR Subpart 52.216-18), during the survey year **2004**, the Government shall place orders for the **Southeast Region Economic Add-On** for a minimum of 153 cells and maximum of 171 cells, with a total minimum sample of 45,000 and a total maximum amount of 50,000. Orders will require the same minimums and maximums shown for 2003ABCD.

2004

States	3 Modes X Waves = cells	Minimum/cell
3 states (North Carolina - Georgia)	Waves 2-6 (45 cells)	30 - Shore & Private Boats 45 - Charter Boats 45 - Head Boats if sampled in SC
6 states (Puerto Rico, East Florida - Louisiana**)	Waves 1-6 (108 cells)	30 - Shore & Private Boats 45 - Charter Boats
1 state (U.S. Virgin Islands)	Waves 1-6 (18 cells)	30 - Shore & Private Boats 45 - Charter Boats

^{**} East Florida and West Florida are 2 states for the purpose of the MRFSS.

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State Add-Ons

The contractor shall also provide the proposed pricing for state-funded add-ons to the intercept sampling, if they plan to offer per unit prices different from those in this contract. State add-ons are expected only for CLIN's 100ABC, 200ABCD, 300ABCD, and 400ABCD.

State Sub-Contracting

The contractor shall also provide the proposed pricing for either the "hold back" for the contractor or the "pass-through" for states who sub-contract to conduct the field sampling components of CLINs 100ABC, 200ABCD, 300ABCD, 400ABCD, 102-402, 103-403, 104AB-404AB, 205 and 305. Hold-back is the amount of each per-unit price the contractor would keep to cover the administrative operation of the MRFSS Intercept Survey tasks, such as drawing sample, data entry, quality control and delivering data and reports to the Government. Pass-through is the opposite of "hold-back" and is what the contractor would propose paying state sub-contractors for their field work. The sum of the pass-through and hold-back should equal the per-unit cost of a CLIN item. The government has no preference as to which is provided; however, all offerors should specify which unit they are using.

All offerors should also state their assumptions concerning what is included in the hold-back or pass-through. For example, some states such as Maine and North Carolina have been conducting the MRFSS Intercept sampling for years and are capable of providing training for their own interviewers. States who are new to sub-contracting may need initial training to be provided by the contractor. Since some of these assumptions and actual costs may change, the rates negotiated with individual states may vary from those provided in this contract. The variance is expected to be minor and justifiable. The Government will provide the proposed amount of hold-back/pass-through and the applicable price sheets to interested states for their use in negotiating their sub-contracts.

All offerors also should specify their per-unit charges (similar to hold-back) for additional samples that states may collect above that ordered by the Government. This should be similar to the hold-back. This only needs to be provided if the offeror is considering different per-unit costs from the base level of sampling (for example if they expect economies of scale), or if the contractor is providing pass-through rather than hold-back.

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B.3 ESTIMATED QUANTITIES

For pricing and evaluation purposes, the sample sizes are the expected amounts shown in Pricing Tables 1-36 in Section B.4. These sample sizes represent NOAA"s current estimate of quantities to be ordered by the Government. These quantities are estimates only, and the Government shall not be obligated to order these quantities. However, the Government shall order at least the total number of interviews designated in Section B.2 as the minimum quantity. Similarly, the Government shall not order more than the total number of interviews designated in Section B.2. as the maximum quantity. (Refer to Section M.5, Determination of Probable Cost to the Government.)

B.4 SCHEDULE OF PRICES

This pricing schedule sets forth the prices applicable to the services to be furnished by the Contractor(s) under this contract as ordered by the Government pursuant to the "Ordering" clause (FAR Subpart 52.216-18) incorporated by reference under Section I.1 of this contract.

NOTE: The offeror shall fill in its proposed per-unit prices to the nearest cent in the spreadsheet files that are downloadable from the acquisition web site

HTTP://www.RDC.NOAA.GOV/~AMD/SOLINDEX.HTML. There are two files: one contains Price Sheets 1-36 for the CLINs, and the other is for the per-unit costs for state-funded add-ons (if different from those in the first spreadsheet) and for state sub-contracting pass-through or hold-back. The spreadsheets are also available on diskette upon request to P. L. Artis, Contract Specialist, at 301-713-0823.

SECTION F

F.3 TIME OF DELIVERY

The Government requires delivery of all required data sets (See section the Statement of Work) to be made according to the following schedule:

Base Period: 2002 Delivery Schedule

Sampling Period	<u>Data Files</u>	Wave Reports
Jan-Feb, 2002	Mar 28, 2002	Apr 4, 2002
Mar-Apr, 2002	May 28, 2002	Jun 4, 2002
May-Jun, 2002	Jul 29, 2002	Aug 5, 2002
Jul-Aug, 2002	Sep 30, 2002	Oct 4, 2002
Sep-Oct, 2002	Nov 28, 2002	Dec 5, 2002
Nov-Dec, 2002	Jan 28, 2003	Feb 4, 2003
End of Year, 2002		Mar 31, 2003 (Annual Report)

Option Period One: 2003 Delivery Schedule

Sampling Period	<u>Data Files</u>	Wave Reports
Jan-Feb, 2003	Mar 28, 2003	Apr 4, 2003
Mar-Apr, 2003	May 28, 2003	Jun 4, 2003
May-Jun, 2003	Jul 29, 2003	Aug 5, 2003
Jul-Aug, 2003	Sep 30, 2003	Oct 4, 2003
Sep-Oct, 2003	Nov 28, 2003	Dec 5, 2003
Nov-Dec, 2003	Jan 28, 2004	Feb 4, 2004
End of Year, 2003		Mar 31, 2004 (Annual Report)

SECTION F

Option Period Two: 2004 Delivery Schedule

Sampling Period	<u>Data Files</u>	Wave Reports
Jan-Feb, 2004	Mar 28, 2004	Apr 4, 2004
Mar-Apr, 2004	May 28, 2004	Jun 4, 2004
May-Jun, 2004	Jul 29, 2004	Aug 5, 2004
Jul-Aug, 2004	Sep 30, 2004	Oct 4, 2004
Sep-Oct, 2004	Nov 28, 2004	Dec 5, 2004
Nov-Dec, 2004	Jan 28, 2005	Feb 4, 2005
End of Year, 2005		Mar 31, 2005 (Annual Report)

Option Period Three: 2005 Delivery Schedule

Sampling Period	<u>Data Files</u>	Wave Reports
Jan-Feb, 2005	Mar 28, 2005	Apr 4, 2005
Mar-Apr, 2005	May 28, 2005	Jun 4, 2005
May-Jun, 2005	Jul 29, 2005	Aug 5, 2005
Jul-Aug, 2005	Sep 30, 2005	Oct 4, 2005
Sep-Oct, 2005	Nov 28, 2005	Dec 5, 2005
Nov-Dec, 2005	Jan 28, 2006	Feb 4, 2006
End of Year, 2006		Mar 31, 2006 (Annual Report)

NMFS will consider delivery by the Contractor through ftp and/or email transmission acceptable for magnetic media; however if constant problems are encountered with networks and such transmission, physical delivery (mail diskette, etc.) will be required.

H.10 SUBCONTRACT APPROVAL

If any of the **intercept** components of the MRFSS are to be conducted by a subcontractor to the main Contractor, NMFS requires prior approval of any changes of subcontractors at least 90 days prior to a new contractors assumption of conduct of the survey. A change in subcontractors may only occur at the beginning of a wave.

H.11 SUBCONTRACTING WITH STATE GOVERNMENTS

Unless expressly authorized, in writing, by the Contracting Officer, the Contractor shall not charge states or interstate fisheries commissions per-unit prices greater than the per-unit prices set forth in this contract in the event that states or interstate fisheries commission contract with the Contractor for additional sample during the term of this contract.

H.12 OPTION TO EXTEND THE EFFECTIVE PERIOD OF THE CONTRACT--INDEFINITE DELIVERY/INDEFINITE QUANTITY CONTRACT

The Government has the option to extend the effective period of this contract. The option period(s) may be exercised anytime prior to the expiration of the contract, provided the Government first gives the Contractor written notice of intent to exercise the option at least 30 days prior to the expiration of the contract. This preliminary notification does not commit the Government to exercising the option. Only upon successful performance of the contract to date will the option(s) be exercised to extend the term of the contract.

Exercise of an option will result in the following modification:

The "Minimum and Maximum Contract Amounts" clause is modified to reflect new and separate minimum and maximum amounts as follows:

OPTION PERIOD ONE: Survey Year 2003 - Waves 1-6

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
200A	Intercept Survey - Shore Mode	8,000	15,000
200B	Intercept Survey - Private/Rental Boat Mode	14,000	30,000
200C	Intercept Survey - Charter Boat Mode	2,400	6,000
200D	Intercept Survey - Head Boat Mode Boat-based samples (boat trips) Extra Interviews -Based samples	400 0	1,500 8,000
201	Data Entry Program Maintenance (hours)	20	80
202	CHBTS Directory Creation (USVI)	0	1
203	CHBTS Directory Maintenance	64	80
204A	CHBTS Charter Boat Pre-validation	2,500	8,000
204B	CHBTS Head Boat Pre-validation	1,250	2,500
205	Intercept Economic Add-On - NE Region	15,000	32,000

OPTION PERIOD TWO: Survey Year 2004 - Waves 1-6

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
300A	Intercept Survey - Shore Mode	8,000	15,000
300B	Intercept Survey - Private/Rental Boat Mode	14,000	30,000
300C	Intercept Survey - Charter Boat Mode	2,400	6,000
300D	Intercept Survey - Head Boat Mode Boat-based samples (boat trips) Extra Interviews -Based samples	400 0	1,500 8,000
301	Data Entry Program Maintenance (hours)	20	80
302	CHBTS Directory Creation (USVI)	0	1
303	CHBTS Directory Maintenance	64	80
304A	CHBTS Charter Boat Pre-validation	2,500	8,000
304B	CHBTS Head Boat Pre-validation	1,250	2,500
305	Intercept Economic Add-On - SE Region	45,000	50,000

OPTION PERIOD THREE: Survey Year 2005 - Waves 1-6

CLIN	CLIN Description	Minimum Sampling Level	Maximum Sampling Level
400A	Intercept Survey - Shore Mode	8,000	15,000
400B	Intercept Survey - Private/Rental Boat Mode	14,000	30,000
400C	Intercept Survey - Charter Boat Mode	2,400	6,000
400D	Intercept Survey - Head Boat Mode Boat-based samples (boat trips) Extra Interviews -Based samples	400 0	1,500 8,000
401	Data Entry Program Maintenance (hours)	20	80
402	CHBTS Directory Creation (USVI)	0	1
403	CHBTS Directory Maintenance	64	80
404A	CHBTS Charter Boat Pre-validation	2,500	8,000
404B	CHBTS Head Boat Pre-validation	1,250	2,500

H.13 1352.209-73 COMPLIANCE WITH THE LAWS (MARCH 2000)

The Contractor shall comply with all applicable laws and rules and regulations having the force of law which deal with or relate to performance hereunder or the employment by the Contractor of the employees.

H.14 1352.208-70 PRINTING (MARCH 2000)

Unless otherwise specified in this contract, the Contractor shall not engage in, or subcontract for, any printing (as that term is defined in Title I of the Government Printing and Binding Regulations in effect on the effective date os this contract) in connection with performing under this contract. Provided, however, that performing a requirement under this contract involving the duplicating of less than 5,000units of only one page, or less than 25,000 units in the aggregate of multiple pages, such pages not exceeding a maximum image size of 10 and 3/4 inches by 14 and 1/4 inches, will not be deemed printing.

H.15 1352.252.70 REGULATORY NOTICE (MARCH 2000)

Contractors are advised that certain provisions and clauses identified with a Commerce Acquisition Regulation (CAR) notation for identification purposes, have not yet been incorporated into the CAR. However, all of these items are binding for this acquisition and will eventually be contained in the CAR at Part 13 of Title 48 of the Code of Federal Regulations.

H.16 1352.209-72 RESTRICTIONS AGAINST DISCLOSURE (MARCH 2000)

- a. The Contractor agrees, in the performance of this contract, to keep the information furnished by the Government and designated by the Contracting Officer of Contracting Officer's Technical Representative in the strictest confidence. The Contractor also agrees not to publish or otherwise divulge such information in whole or in part, in any manner or form, nor to authorize or permit others to do so, taking such reasonable measures as are necessary to restrict access to such information while in the Contractor's possession, to those employees needing such information to perform the work provided herein, i.e., on a "need to know" basis. The Contractor agrees to immediately notify the Contracting Officer in writing in the event that the Contractor determines or has reason to suspect a breach of this requirement.
- b. The Contractor agrees that it will not disclose any information described in subsection a to any persons or individual unless prior written approval is obtained from the Contracting Officer. The Contractor agrees to insert the substance of this clause in any consultant agreement or subcontract hereunder.

H.17 1352.233-70 HARMLESS FROM LIABILITY (MARCH 2000)

The Contractor shall hold and save the Government, its officers, agents, and employees harmless from liability of any nature or kind, including costs and expenses to which they may be subject, for or on account of any or all suits or damages of any character whatsoever resulting form injuries or damages sustained by any person or persons or property by virtue of performance of this contract, arising or resulting in whole or in part from the fault, negligence, wrongful act or wrongful omission of the contractor, or any subcontractor, their employees, and agents.

L.8 INSTRUCTIONS FOR PROPOSAL SUBMISSION AND PRESENTATION

Proposals will consist of a written document and an oral presentation. Each is discussed below.

I. Written Portion of Proposal

A. Format

The written portion of the proposal shall be submitted such that it is received not later than the hour and date specified for receipt of offers in Block 9 of the Standard Form 33 which serves as the first page of this solicitation. The written portion of the proposal shall be submitted in two separately bound sections as follows:

Section IOffer Terms and Administrative Information, plus printed and magnetic copies of Section B (the per unit pricing schedule).

(One original plus two copies required)

Section II Technical Capability Information
(One original plus seven copies required)

Neither electronic nor facsimile submission of the written portion of the proposal is permitted, except for the magnetic copy of the per unit pricing schedule. Paper copies of the per-unit pricing schedule must also be included in Section I.

B. Content

SECTION I. OFFER TERMS AND ADMINISTRATIVE INFORMATION

This part of the proposal shall consist of:

a. Standard Form 33

The offeror shall complete and submit Blocks 12 through 18 of the Standard Form 33.

L.8 Continued

b. Section B.4 Pricing Schedule

The offeror shall fill in its proposed Estimated Per-Unit Prices for each of Contract Line Item Numbers (CLINs) 100ABC, 101, 102, 103, 104AB, 200ABCD, 201, 202, 203, 204AB, 205, 300ABCD, 301, 302, 303, 304AB, 305, 400ABCD, 401, 402, 403, and 404AB. The Government will provide standardized spreadsheets on diskette and on the web site for offerors to enter costs in order to speed evaluations. Per unit prices should be entered only to the nearest cent. Offerors are responsible for ensuring that the equations in cells for subtotals and totals are correct. Offerors will be required to provide magnetic copies of costs using the standard forms, as well as hard copies in Section I of the written proposal.

The pricing for each of the CLINs (100ABC, 101, 102, 103, 104AB, 200ABCD, 201, 202, 203, 204AB, 205, 300ABCD, 301, 302, 303, 304AB, 305, 400ABCD, 401, 402, 403, and 404AB) shall be based on the estimated sample sizes found in the price per unit spreadsheets. Prices for cells where samples are not usually ordered (wave 1 for Atlantic coast, waves 2 and 6 for Maine and New Hampshire for the shore and private boat modes, and wave 6 for Maine and New Hampshire for the charter and head boat modes) should not be provided by offerors. Probable sample sizes for all cells that will be evaluated have been provided in the price sheet.

For CLINs 101, 201, 301, and 401, the Offerors should present the estimated number of hours by staff level that would be required for changes to the data entry program, for each of three situations: 1) modification to the existing lay-out (e.g. changing the wording of a question on entry-screen and/or changing the name/format of the linked storage variable, 2) addition of a new variable (the question on entry-screen, linked storage variable and format, proper export to permanent storage), and 3) addition of a new page and exported data with up to 12 questions and variables. This estimates should be included in the pricing section of the written proposal.

With the exception of intercepts for the charter and head boat modes in 2002-2005, the estimated sample sizes for the intercept survey are based on current sample size levels. Actual sample sizes ordered from 2002-2005 will vary from these estimates, but will not be below the minimums or above the maximums specified in Section B.2. The intercept sample sizes do not include a possible increase for the Northeast by the Atlantic Coastal Cooperative Statistics Program (ACCSP) as the costs for that sample would cause the total price over the contract threshold of five million dollars. It is probable that the Atlantic States Marine Fisheries Commission would order that addition to sample through a contract with the winning offeror.

The 2002-2005 sample sizes for the intercept charter boat modes in the Northeast Region were set at the current levels for the combined charter and head boat modes, since the Government believes this level of sampling is the minimum needed for a single stratum. The sample size for the South Atlantic is based on the current sample size for that single mode, and may increase if funding is available.

The 2002-2005 sample sizes for the intercept head boat mode were based on 10 boat trips per cell. The estimated number of additional intercepts above the cap of 45 per boat trip was developed for each states using a random proportional sample of head boats weighted by their passenger capacity. We then determined which vessels could carry more than 45 passengers, and how many extra interviews up to the cap of 30 could be obtained. Passenger capacity was not available yet for a few states. For those states, we assigned samples based on other states with similar numbers of vessels. The actual number obtained by the contractor may vary from this estimate, but should not exceed the maximum for a cell specified in Section B.2.

The Government expects significantly improved pricing for this mode by requiring samplers to ride the boat. For example, assume that an interviewer is paid nine dollars per hour, a head boat trip takes approximately 8 hours, travel time to and from the boat is a total of 2 hours, then the total cost for their time is 99 dollars for that day. Add in the travel mileage for 30 miles one-way (@ \$20 for the round trip), the boat fee (\$45), the contractor overhead costs on the labor (@10% = \$9). Data entry and QA/QC costs of about \$6/interview (taken from overhead costs for state subcontractors from the current contract) can be calculated for an average of 33 interviews per boat for a total of \$198. (Forty-six percent of the boats had passenger capacities less than 45 and had a mean capacity of 33. The remaining 54% would have a mean interview size of 45 - the interview cap therefore the mean for all boats is 33.) The mean capacity of boats carrying more than 45 passengers was 91, therefore a mean of 30 additional interviews can be applied to 54% of the trips and 0 interviews per trip apply to 46% of the trips, for a mean number of additional samples per trip of 16. Using the data entry and quality control costs of \$6/interview, these 16 additional samples would cost \$96. The total cost is now \$495 per boat trip (\$99+20+\$45+\$9+\$198+\$96=\$467+6% profit on the total for \$495) for an average of 49 interviews or \$10.10 per interview. Even if actual interviews are 50% below this estimate, this is significantly less than the current contract average of \$43/interview in the Northeast Region where head boats are included.

The pricing for CLIN 205 and 305 (2003-2004 Economic Add-ONs) shall be based on the estimated sample sizes found in the price sheets. These sample sizes are based on the combined shore, private/rental boat, charter boat and head boat intercept samples sizes since cooperation rates can not be predicted. For the head boat sample size in the Northeast Region, we used 100 intercepts per cell. For the head boat sample size in South Carolina Region, we used 200 intercepts per cell.

The offeror shall fill in its proposed Estimated Per-Unit Prices for the "hold-back" or "pass-through associated with state subcontracting for each of CLINs 100ABC, 102, 103, 104AB, 200ABCD, 202, 203, 204AB, 205, 300ABCD, 302, 303, 304AB, 305, 400ABCD, 402, 403, and 404AB. There are no state-related costs associated with CLIN 101, 201, 301, and 401. The offeror shall fill in its proposed Estimated Per-Unit Prices for data entry and quality control of additional samples collected by state subcontractors for each of CLINs 100ABC, 200ABCD, 300ABCD, and 400ABCD. No additional samples can be associated with 102, 103, 202, 203, 302, 303, 402, and 403. The Government does not expect that state subcontractors would increase sampling in CLINs 104AB, 204AB, 304AB or 404AB. The Government will provide standardized spreadsheets on diskette and on the web site for offerors to enter state sub-contract associated costs in order to speed evaluations. Per unit prices should be entered only to the nearest cent. No sample sizes are provided or needed. Offerors will be required to provide magnetic copies of costs using the standard spreadsheets,

c. Section H

The offeror shall complete Section H.3.

d. Section I.1 NOTICE OF PRICE EVALUATION ADJUSTMENT FOR SMALL DISADVANTAGED BUSINESS CONCERNS

An offeror that is a small disadvantaged business concern, as defined in Paragraph (a), shall complete paragraph (c) of 52.219-23 if it elects to waive the evaluation adjustment set forth in 52.219-23.

e. Section K

The offeror shall complete Section K.

f. Solicitation Amendments

The offeror shall acknowledge all Amendments to the solicitation.

g. Past Performance Information - Support of Government-Sponsored Socio-Economic Programs

Note: This section of the proposal is not required for offerors which are small businesses.

The offeror shall address the support it has provided for Government-sponsored socio-economic programs over the last three years. The offeror shall include whatever information it considers would be of value to the Government in assessing the offeror's degree of support for Government-sponsored socio-economic programs. At a minimum, the offeror shall identify (1) the total amount of subcontracted dollars for each of the three contracts which the offeror identified as references in the proposal section pertaining to Past Performance Information relating to Technical Capabilities and (2) the percentage of these subcontracted dollars which were distributed to (a) small businesses, (b) small disadvantaged businesses, and (c) woman-owned small businesses.

h. Proposed Support for Government-Sponsored Socio-Economic Programs

Note: This section of the proposal is not required for offerors which are small businesses.

The offeror shall identify the steps it proposes to take to support Government-sponsored socio-economic programs on this particular project.

Included in this section of the proposal, the offeror shall provide the Small Business Subcontracting Plan required by Federal Acquisition Regulation Clause 52.219-9, Alternate II, incorporated by reference in Section I.1 of the solicitation. Small Business Subcontracting Plans with zero or token goals are unacceptable. In preparing the plan, the offeror shall consider the following small business subcontracting goals which NOAA intends to achieve this fiscal year.

Total Awards to Small Business Concerns	52%
Awards to Small Disadvantaged Business Concerns	13%
Awards to Women-Owned Small Business Concerns	9%
Awards to 8(a) Concerns	9%
Awards to HUBZone Concerns	2.4%
Awards to Services Disabled Veteran Concerns	3%

i. Financial Capability

The offeror shall provide copies of its audited balance sheet and income statement for its most recently completed annual accounting period. If the financial statements have not been audited, the offeror shall provide copies of its unaudited statements.

SECTION II. TECHNICAL AND MANAGEMENT CAPABILITY INFORMATION

This part of the proposal shall consist of:

a. Personnel Qualifications for Key Personnel

The Principal Investigator, Program Manager, Fishery Biologist(s) (day to day operations & QA/QC), SAS Programmer, Lead Trainer are the key personnel (reference Section H.3). The Principal Investigator is the company official with primary responsibility for this project. The Program Manager is the position responsible for daily operations. The Fishery Biologist(s) positions are also responsible for day to day operations and quality control. The SAS Programmer is the position responsible for cleaning and delivering the SAS data sets and creating the data tables required in the wave reports. The Lead Trainer is the position responsible for initial and continuing training of field supervisors and field interviewers. The offeror shall provide the curriculum vitae for the individuals proposed to fill each of these positions. The curriculum vitae should address their technical qualifications and experience in conducting large complex surveys.

If any of these proposed individuals are not presently in the employ of the offeror (or a proposed subcontractor of the offeror), the offeror shall provide a signed letter of commitment from such individual indicating that he/she will join the employ of the offeror (or a proposed subcontractor of the offeror) should the offeror receive a contract in response to this solicitation.

b. Qualifications for other Positions

The offeror shall list all positions other than the key personnel, that it proposes for this project and shall identify the minimum qualifications applicable to each other position.

c. Proposed Management Approach

The offeror shall describe its proposed management approach, including its organizational structure (with an organizational chart of all positions), and a table with all staffing levels and labor hours for all staff categories, at the levels necessary to implement the MRFSS Intercept Survey for each of the various CLINs.

d. Company Culture / Employee Benefits

The offerors shall describe its corporate culture and employee benefits, in terms of its corporate philosophies and policies for ensuring quality performance, professional advancement and training opportunities, staff flexibility to respond to demands of family life, rewards and recognition, internal communications, availability of technology necessary for job performance, and administrative support.

e. Innovation

The offeror shall provide a description of any proposed innovations to improve the timeliness and/or quality of the MRFSS Intercept Survey.

f. Transition Process

All offerors (including the incumbent) shall describe their proposed transition process to implement the MRFSS Intercept Survey, including the hiring and training of current or new field interviewers.

Any incumbent (present or past) offerors shall describe their process to incorporate new requirements described in the statement of work and demonstrate their awareness of these new requirements.

g. Past Performance Information - Technical Capabilities

Offerors who have had previous experience relative to conduct of any portion of the MRFSS Telephone or Intercept Surveys, or the Large Pelagics Survey, should identify and describe that experience. The offeror shall identify the customer (COTR and Office) for each project, the dollar value of each contract, the contract type, and the period of performance. The offeror shall identify staff that served on any of those projects that are still available to work on the 2002-2005 Intercept Survey.

If relevant, offerors should also describe any contract experiences with MRFSS telephone or intercept add-ons, and sub-contracting with the natural resource agencies of coastal states or with interstate fisheries commissions for conduct of the MRFSS Intercept Survey. The offeror shall identify the customer (state natural resource agencies or interstate commissions) for each project, the dollar value of each contract, the contract type, and the period of performance. The offeror shall identify staff that served on any of those projects that are still available to work on the 2002-2005 Intercept Survey. The offeror shall provide the names and telephone numbers of the customer's contractual and technical points-of-contact.

Offerors with experience conducting any of the MRFSS survey components should also provide references for three contracts <u>other than the MRFSS</u> conducted in the last three years. The offeror shall identify the customer for each project, the dollar value of each contract, the contract type, and the period of performance. the dollar value of each contract, the contract type, and the period of performance. The offeror shall provide the names and telephone numbers of the customer's contractual and technical points-of-contact. The offeror shall identify staff that served on any of those projects that would work on the MRFSS Intercept Survey.

Offerors with no experience conducting the MRFSS, or MRFSS add-ons, shall identify three other projects similar in nature to this project that it has performed within the last three years or is presently performing. The offeror shall describe the technical performance required for each project, including corporate experience in conducting large complex personal interviewing surveys. The offeror shall identify the customer for each project, the dollar value of each contract, the contract type, and the period of performance. The offeror shall provide the names and telephone numbers of the customer's contractual and technical points-of-contact.

g. Past performance (Continued)

The Government may contact some or all of the points-of-contact identified by the offeror to obtain past performance information. Additionally, the Government may contact other sources, such as state natural resource management agencies and interstate commissions, to obtain information on the offeror's past performance.

III. ORAL PRESENTATION

The offeror shall provide an oral presentation to the Government in order to demonstrate its understanding of, and its ability to satisfy, the work requirements of this project.

The oral presentation shall be made by the individuals which the offeror has proposed as **Key Personnel**, i.e. Principal Investigator, Program Manager, Fishery Biologist(s), SAS Programmer, and Lead Trainer. Other personnel in the employ of the offeror (or in the employ of a proposed subcontractor of the offeror) may participate in the oral presentation to a minor degree.

An overly elaborate presentation or presentation material is neither required nor desired. The Government will videotape the oral presentation.

Specific items to be addressed in the oral presentation shall include:

a. Discussion of the offeror's understanding of the intercept survey components and requirements. In addition, a working knowledge of the overall design of the MRFSS, its goals, and uses of the resulting data should also be demonstrated.

b. Discussion of the offeror's proposed technical approach to performing the Statement of Work Requirements in the areas of:

Understanding of the MRFSS definitions

Understanding of the scope of the various tasks, including the Intercept Survey, compilation of the Charter Boat Directory, maintenance of the Charter and head Boat directory, pre-validation of the Charter and Head Boat data and the Economic Add-ons,

Understanding of the eligibility of respondents for the various tasks, Origin and maintenance of the Master Site Register

Drawing intercept Assignments for a wave, and distribution requirements for interviewing quotas

Creation and maintenance of the Charter and Head Boat Telephone Survey (CHBTS) Directory, including timely delivery to the MRFSS Telephone Contractor;

Assigning the CHBTS pre-validation samples for a wave

Completing the Economic Add-ons to the Intercept interviews

Completing the Economic Add-on telephone follow-ups

Linking the short form economic add-ons to previous intercepts and economic add-ons,

Achieving quotas,

Intercept Interview Procedures, including examination and identification of the catch

CHBTS Pre-validation Procedures

Economic Interview Procedures - Intercept and Telephone Follow-Up Add-ons

Monitoring of the Data Collection, including weekly tallies and field quality control visits

Updating the data entry programs to accommodate changes to the questionnaires;

Data Entry tasks

Quality Assurance procedures, including 10% Validation of all intercept interviews, and data checking

Deliverables - data files and reports, and timelines

Attendance and participation at three wave review meetings and one conference call review meeting per year.

c. Discussion of the offeror's proposed management approach, including but not limited to the topics of:

Explanation of data entry, CATI and project tracking capabilities If used for validation and economic telephone follow-ups, the offeror's CATI capabilities

Discussion of staffing levels and mix to accomplish the requirements of the statement of work.

Discussion of the offeror's qualifications and experience in conducting large complex surveys, and the ability to plan and conduct complex operational activities.

Approach to recruiting, training, testing, deployment, and supervision of interviewing personnel

Government coordination, including the proposed communications strategy between NMFS and the contractor(s)

Approach to state sub-contracting for conduct of the intercept sampling, additional state samples, and contracts with states/commissions to add sample.

Technical planning and control Responsibilities and authority Standards and procedures

L.9 1352.215-72 INSTRUCTIONS FOR ORAL PRESENTATIONS (MARCH 2000)

The Government will review the written portion of each proposal received prior to scheduling any oral presentation. Based on its review of the written portion of each proposal, if the Government concludes that one or more offerors do not have a reasonable chance of being selected for contract award, the Government will advise such offeror(s) in writing asking such offeror(s) to voluntarily withdraw. If any such offeror chooses to remain in the competition, the offeror will be afforded the opportunity of making an oral presentation.

The Contracting Officer will contact the offeror to schedule the date and time for oral presentations. The order in which offerors will make their oral presentations will be determined by a random drawing by the Contracting Officer. Once notified of its scheduled presentation date and time, each offeror shall be prepared to complete its presentation on the scheduled date and time. Requests to reschedule will not be considered except under unusual and compelling circumstances or unless determined necessary by the Government.

Oral presentations will be conducted at a NOAA facility in Silver Spring, Maryland. No later than **four (4)** calendar days prior to its scheduled presentation date, each offeror will be notified by the Contracting Officer of the date and time scheduled for its oral presentation, along with the exact location where the oral presentation is to be given. Along with this notification, the Contracting Officer will provide the offeror any necessary additional instructions regarding the oral presentation.

The offeror shall provide to the Contracting Officer at least **two** working days prior to its oral presentation **ten (10)** copies of all briefing charts and materials which the offeror plans to present during the briefing. The offeror shall also include a list of names, firms, and position titles of those persons participating in the presentation. The offeror is cautioned that any portion of its briefing charts/materials that is not addressed during its oral presentation shall not be considered for evaluation.

The oral presentation shall consist of a briefing made by the offeror of no more than **four** hours in duration and a question and answer session of no more than **three** hours in duration. For the briefing portion of the oral presentation, the offeror will be afforded flexibility in the method of presentation. The Government will provide an overhead projector for use by the offeror during the briefing, if the offeror so desires. If the offeror plans to use computer projection for their presentation, they shall provide their own equipment in order to assure compatibility. The offeror shall not use a font size smaller than 18 on overhead slides or computer presentations.

During the question and answer session portion of the oral presentation, the offeror shall be prepared to answer questions from the Government concerning its proposal. The Government's questions will be formulated to (a) make certain that the Government understands the offeror's proposal and (b) probe more deeply into the offeror's knowledge. The offeror shall not use the oral presentation as a means to change any material it has presented as part of its written proposal.

Upon completion of the oral presentation, the Government may request clarification of any points addressed in either the written technical proposal or during oral presentation which may require further elaboration. Any such interchange will not constitute discussion within the meaning of FAR 15.306(d).

L.10 1352.242-71 POST-AWARD CONFERENCE (MARCH 2000)

(A) Incumbent Offerors Post-Award Conference

If the current incumbent contractor is the successful offeror, a post award conference may be required. It will be scheduled and held within 15 days after the date of contract award. The conference will be held at:

U.S. Department of Commerce, NOAA AGFS Acquisition Management Division 1305 East West Highway, Sta #7604 Silver Spring, MD 20910

(B) Non-Incumbent Offerors - Post-Award Site Visit

If the contract is awarded to a non-incumbent, or an incumbent with past experience, there will be one preparation site visit of up to five (5) days to review contract requirements, sample selection procedures, quality control procedures, data base outputs, and reporting requirements. Additionally, there may be one 3-day site visit (at the contractor's plant) during the first dialing period to participate in training and monitoring during the first wave of dialing.